

# The expected value of invariants of rational knots

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# Collaborators

- Moshe Cohen - SUNY New Paltz
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# Vassar's Undergraduate Research Science Institute (URSI)



# Chronology

- (2014-2021) Cohen and collaborators study random models of 2- and 3-bridge knots coming from Chebyshev billiard table diagrams. Cohen finds a lower bound for the average genus of 2-bridge knots.
- (2022) Suzuki-Tran, Diao-Ray, and Cohen-L. compute the average genus of 2-bridge knots.
- (2022-2023) Cohen-L. and Vassar undergrads Dinardo, Raanes, Rivera, Steindl, and Wanebo compute median, mode, and variance of the genus of 2-bridge knots and show that the distribution of genera is asymptotically normal.

## Chronology continued

- (2023-2024) Suzuki-Tran and Clark-Frank-L. compute the average braid index of 2-bridge knots.
- (In progress) Cohen-L.-Raanes compute the average signature of 2-bridge knots.
- (In progress) Cohen, Kindred, L., Shanahan, and Van Cott compute the average crosscap number of 2-bridge knots.

# Results

Invariant	Average value as $c \rightarrow \infty$
Genus	$\frac{3c + 1}{12}$
Braid index	$\frac{3c + 11}{9}$
Absolute value of signature	$\sqrt{\frac{2c}{\pi}}$
Crosscap number	$\frac{11c + 2}{32}$

# The signature of a knot

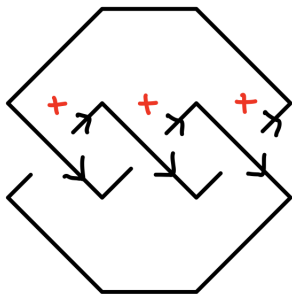
- (Trotter, 1968) The signature of a knot  $K$  is the difference in the number of positive and negative eigenvalues of  $V + V^T$  for any Seifert matrix  $V$  of  $K$ .
- (Traczyk, 2004) The signature of an alternating knot  $K$  with reduced alternating diagram  $D$  is

$$\sigma(K) = s_A(D) - c_+(D) - 1$$

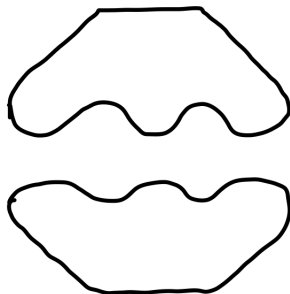
where  $s_A(D)$  is the number of components in the all- $A$  Kauffman state and  $c_+(D)$  is the number of positive crossings in  $D$ .

## Signature example

$$\sigma(K) = s_A(D) - c_+(D) - 1 = -2$$



D

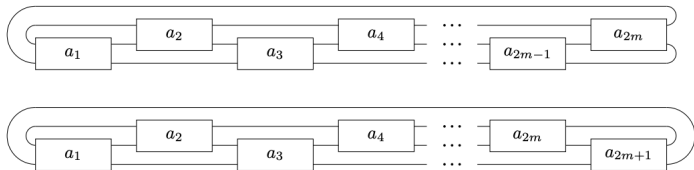


SA(D)



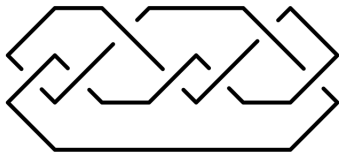
## Rational or 2-bridge knots

Every rational knot has an alternating diagram of the form  $D[a_1, \dots, a_n]$  where the  $i$ th twist region has  $|a_i|$  crossings.

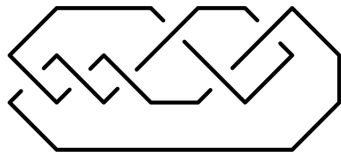


$D[a_1, \dots, a_n]$  for  $n = 2m$  and  $n = 2m + 1$

## Alternating diagrams of rational knots

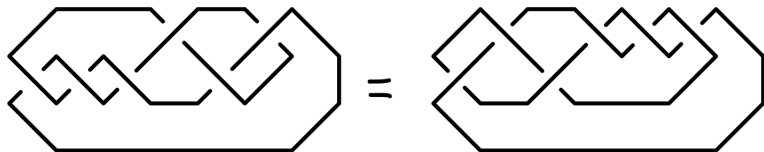


$D[2, 1, 2, 1, 1]$



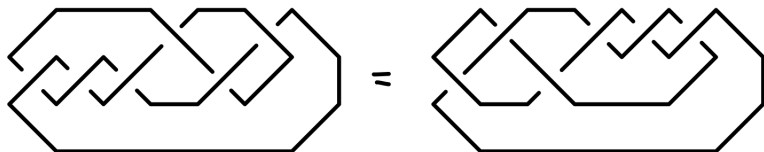
$D[-3, -1, -1, -1]$

## Equivalent diagrams of rational knots



$D[-3, -1, -1, -1]$

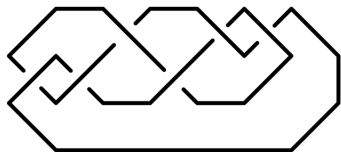
$D[1, 1, 1, 3]$



$D[3, 1, 1, 1]$

$D[-1, -1, -1, 3]$

## Palindromic diagrams



$D[2, 1, 1, 2]$

=



$D[-2, -1, -1, -2]$

## The set $R_m$

- The set  $R_m$  contains alternating diagrams  $D[a_1, \dots, a_n]$  of crossing numbers  $c = 2m + 1$  and  $c = 2m + 2$ .
- The set  $R_m$  can be combinatorially related to the set  $R_{m-1}$ . Let  $r_{m,\sigma}$  be the number of diagrams in  $R_m$  whose signature is  $\sigma$ . Then


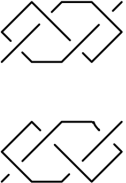

$$r_{m,\sigma} = r_{m-1,\sigma-2} + 2r_{m-1,\sigma} + r_{m-1,\sigma+2}.$$

- Consequently,

$$r_{m,\sigma} = \binom{2m}{m + \sigma/2}.$$

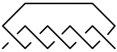
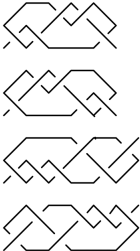
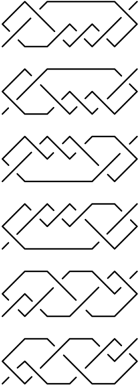
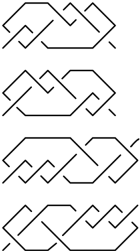
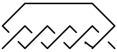
The set  $R_1$

signature

-2	0	2
		

# The set $R_2$

signature

-4	-2	0	2	4
				

















## More about $R_m$

- Most rational knots appear twice in  $R_m$ . A small number only appear once.
- The average of the absolute value of signature of a rational knot approaches the average over the set  $R_m$ .



# Total signature example: $R_2$

signature

-4	-2	0	2	4
	   	     	   	

$$\text{Tot}_\sigma(2) = 4 \cdot 1 + 2 \cdot 4 + 6 \cdot 0 + 2 \cdot 4 + 4 \cdot 1 = 24.$$

# Total signature

$$\begin{aligned}\text{Tot}_\sigma(m) &= \sum_{D \in R(m)} |\sigma(D)| \\ &= \sum_{\sigma} |\sigma| r_{m,\sigma} \\ &= \sum_{k=-m}^m 2|k| \binom{2m}{m+k} \\ &= 2m \binom{2m}{m} \approx 2^{2m+1} \sqrt{\frac{m}{\pi}}\end{aligned}$$

## Average signature

$$\begin{aligned}\text{Average} &= \frac{\text{Tot}_\sigma(c)}{|R_m|} \\ &\approx \frac{2^{2m+1} \sqrt{\frac{m}{\pi}}}{2^{2m}} \\ &= 2\sqrt{\frac{m}{\pi}}\end{aligned}$$

# Average signature theorem

Theorem (Cohen, L., Raanes)

*The average  $\sigma_{avg}(c)$  of the absolute value of the signature of rational knots with  $c$  crossings satisfies*

$$\lim_{c \rightarrow \infty} \left( \sigma_{avg}(c) - \sqrt{\frac{2c}{\pi}} \right) = 0.$$

# Average 4-genus

The upper bound is implied by Baader, Kjuchukova, Lewark, Misev, and Ray (2019).

## Corollary

*The average 4-genus of a 2-bridge knot is sublinear and bounded from below by  $\sqrt{\frac{c}{2\pi}}$*

Thank you!