# Invariants of Turaev genus one links 

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## Outline

- What are Turaev genus one links?
- How are Turaev genus one links related to some familiar families of links?
- What can we say about the Jones polynomial and Khovanov homology of a Turaev genus one link?


## The Turaev surface

The Turaev surface of a link diagram $D$ is obtained by

1. constructing a cobordism between the all- $A$ and all- $B$ Kauffman states of $D$ that has saddles corresponding with crossings, and
2. capping off the boundary components of the above cobordism with disks.

The Turaev surface at a crossing


## The Turaev surface




Turaev surface of $D$

## The Turaev genus of a link

- For a connected link diagram $D$, the genus of the Turaev surface is

$$
g_{T}(D)=\frac{1}{2}\left(2+c(D)-s_{A}(D)-s_{B}(D)\right)
$$

where $c(D)$ is the number of crossings in $D$ and $s_{A}(D)$ and $s_{B}(D)$ are the number of components in the all- $A$ and all- $B$ Kauffman states of $D$ respectively.

- The Turaev genus $g_{T}(L)$ of a link $L$ is

$$
g_{T}(L)=\min \left\{g_{T}(D) \mid D \text { is a diagram of } L\right\}
$$

## Facts about the Turaev surface and Turaev genus

- The link $L$ has an alternating projection on any of its Turaev surfaces.
- The Turaev genus of a link is zero if and only if the link is alternating.


## Turaev genus one links

## Theorem (Armond, L.; Kim)

Every non-split Turaev genus one link has a diagram as depicted below where each $R_{i}$ is an alternating tangle and + or - indicates that the first crossing that strand meets is an over or under crossing respectively.


## Pretzel links

Non-alternating pretzel links are Turaev genus one.


## Montesinos links

Non-alternating Montesinos links are Turaev genus one.


## Almost-alternating links

- A non-alternating link is almost-alternating if it has a diagram that can be transformed into an alternating diagram via one crossing change.
- Every almost-alternating link is Turaev genus one.
- Open Question. Is every Turaev genus one link almost-alternating?



## Almost-alternating links and mutation

Theorem (Armond, L.)
Every Turaev genus one links is mutant to an almost-alternating link.

Mutation proof


Mutation proof continued


## The Jones polynomial of a Turaev genus one link

Theorem (Dasbach, L., Spyropoulos)
Let $L$ be a Turaev genus one link with Jones polynomial

$$
V_{L}(t)=a_{m} t^{m}+a_{m+1} t^{m+1}+\cdots+a_{n-1} t^{n-1}+a_{n} t^{n}
$$

where $a_{m}$ and $a_{n}$ are nonzero. Either

- $\left|a_{m}\right|=1$ and $a_{m} a_{m+1} \leq 0$, or
- $\left|a_{n}\right|=1$ and $a_{n-1} a_{n} \leq 0$.


## Example $11 n_{95}$



The Jones polynomial of $11 n_{95}$ is

$$
V_{11 n_{95}}(t)=2 t^{2}-3 t^{3}+5 t^{4}-6 t^{5}+6 t^{6}-5 t^{7}+4 t^{8}-2 t^{9}
$$

Since $11 n_{95}$ has a diagram of Turaev genus two, it follows that $g_{T}\left(11 n_{95}\right)=2$.

## Example $15 n_{41133}$



The Jones polynomial of $15 n_{41133}$ is
$t^{4}+t^{5}-3 t^{6}+8 t^{7}-12 t^{8}+14 t^{9}-15 t^{10}+13 t^{11}-10 t^{12}+6 t^{13}-2 t^{14}$.
Thus $g_{T}\left(15 n_{41133}\right) \geq 2$.

## Non-triviality of the Jones polynomial

Theorem (L., Spyropoulos)
Let $L$ be an m-component link of Turaev genus one where $m \geq 1$, and let $V_{L}(t)$ be the Jones polynomial of $L$. Then

$$
V_{L}(t) \neq t^{k}\left(-t^{\frac{1}{2}}-t^{-\frac{1}{2}}\right)^{m-1}
$$

for any $k \in \mathbb{Z}$. In particular, the Jones polynomial of $L$ is different from the Jones polynomial of the m-component unlink.

## Example with trivial Jones polynomial



Eliahou, Kauffman, and Thistlethwaite found non-trivial links whose Jones polynomials are trivial. Since the above link $L$ has Jones polynomial $V_{L}(t)=\left(-t^{\frac{1}{2}}-t^{-\frac{1}{2}}\right)^{4}$, it follows that $g_{T}(L) \geq 2$.

## Khovanov homology

Khovanov homology is a $\mathbb{Z}$-module equipped with two gradings $i$ and $j$ such that

$$
\sum_{i, j}(-1)^{i} \operatorname{rank} K h^{i, j}(L) t^{j}=\left(t+t^{-1}\right) V_{L}\left(t^{2}\right)
$$

Let $j_{\text {min }}(L)$ and $j_{\text {max }}(L)$ denote the minimum and maximum $j$-gradings where $K h(L)$ is supported respectively.

## Extremal Khovanov homology

Theorem (Champanerkar, Kofman, StotIzfus)
If $L$ is a Turaev genus one link, then $K h(L)$ is supported on at most three adjacent diagonals, i.e. there is an integer $k$ such that $K h^{i, j}(L)=0$ unless $2 i-j=k, k+2$, or $k+4$.

Theorem (Dasbach, L.)
Let $L$ be a non-split link of Turaev genus one. Either

$$
\bigoplus_{i \in \mathbb{Z}} K h^{i, j_{\min }}(L) \cong \mathbb{Z} \text { or } \bigoplus_{i \in \mathbb{Z}} K h^{i, j_{\max }}(L) \cong \mathbb{Z}
$$

Moreover, the summand of $\mathbb{Z}$ above appears on the penultimate diagonal.

Example: the (3,4)-torus knot

| $j \backslash i$ | 0 | 1 | 2 | 3 | 4 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 17 |  |  |  |  |  | 1 |
| 15 |  |  |  |  |  | 1 |
| 13 |  |  |  | 1 | 1 |  |
| 11 |  |  |  | 12 | 1 |  |
| 9 |  |  | 1 |  |  |  |
| 7 | 1 |  |  |  |  |  |
| 5 | 1 |  |  |  |  |  |

Example: the (3,4)-torus knot

| $j \backslash i$ | 0 | 1 | 2 | 3 | 4 | 5 |
| :---: | :--- | :--- | :--- | :--- | :--- | :--- |
| 17 |  |  |  |  |  | 1 |
| 15 |  |  |  |  |  | 1 |
| 13 |  |  |  | 1 | 1 |  |
| 11 |  |  |  | $1 / 2$ | 1 |  |
| 9 |  |  | 1 |  |  |  |
| 7 | 1 |  |  |  |  |  |
| 5 | 1 |  |  |  |  |  |

Example: $10_{132} \# \overline{10_{132}}$


## Example: $K h\left(10_{132} \# \overline{10_{132}}\right)$

| $j \backslash i$ | -7 | -6 | -5 | -4 | -3 | -2 | -1 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 13 |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 | 1 |
| 11 |  |  |  |  |  |  |  |  |  |  |  |  | 1 | $1_{2}$ | $1_{2}$ |
| 9 |  |  |  |  |  |  |  |  |  |  |  | 2 | $3,1_{2}$ | 1 |  |
| 7 |  |  |  |  |  |  |  |  |  | 1 | 5 | $3,2_{2}$ | $2_{2}$ |  |  |
| 5 |  |  |  |  |  |  |  |  | 1 | $3,1_{2}$ | $3,5_{2}$ | $2,2_{2}$ |  |  |  |
| 3 |  |  |  |  |  |  |  | 1 | $7,1_{2}$ | $8,3_{2}$ | $2,1_{2}$ |  |  |  |  |
| 1 |  |  |  |  |  |  | 3 | $8,1_{2}$ | $4,6_{2}$ | $1,3_{2}$ |  |  |  |  |  |
| -1 |  |  |  |  |  | 1 | $4,3_{2}$ | $8,6_{2}$ | $3,1_{2}$ |  |  |  |  |  |  |
| -3 |  |  |  |  | 2 | $8,1_{2}$ | $7,3_{2}$ | $1,1_{2}$ |  |  |  |  |  |  |  |
| -5 |  |  |  | 2 | $3,2_{2}$ | $3,5_{2}$ | $1,1_{2}$ |  |  |  |  |  |  |  |  |
| -7 |  |  |  | $3,2_{2}$ | $5,2_{2}$ | 1 |  |  |  |  |  |  |  |  |  |
| -9 |  | 1 | 3 | $2,1_{2}$ |  |  |  |  |  |  |  |  |  |  |  |
| -11 |  | $1_{2}$ | $1,1_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  |
| -13 | 1 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |

## Example: $11 n_{376}$



| $j \backslash i$ | -7 | -6 | -5 | -4 | -3 | -2 | -1 | 0 |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| -3 |  |  |  |  |  |  |  | 2 |
| -5 |  |  |  |  |  |  | 1 | 2 |
| -7 |  |  |  |  |  | 2 | $1_{2}$ |  |
| -9 |  |  |  | 1 | 1 | $1,2_{2}$ |  |  |
| -11 |  |  |  | 4 | $2,1_{2}$ |  |  |  |
| -13 |  |  |  | $2,2_{2}$ |  |  |  |  |
| -15 |  | 1 | 2 |  |  |  |  |  |
| -17 |  | $1_{2}$ |  |  |  |  |  |  |
| -19 | 1 |  |  |  |  |  |  |  |

## Example: $11 n_{376}$



| $j \backslash i$ | -7 | -6 | -5 | -4 | -3 | -2 | -1 | 0 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| -3 |  |  |  |  |  |  |  | 2 |
| -5 |  |  |  |  |  |  |  | 2 |
| -7 |  |  |  |  |  |  | 1 |  |
| -9 |  |  |  |  |  | 122 |  |  |
| -11 |  |  |  |  | 2 | 12 |  |  |
| -13 |  |  |  | 2 | 2 |  |  |  |
| -15 |  | 2 |  |  |  |  |  |  |
| -17 |  |  |  |  |  |  |  |  |
| -19 |  |  |  |  |  |  |  |  |

Legendrian front diagrams: the $(3,4)$ torus knot


## Thurston Bennequin numbers

Let $\mathcal{L}$ be a Legendrian link with front diagram $F$. The Thurston Bennequin number $\operatorname{tb}(\mathcal{L})$ of $\mathcal{L}$ is the difference between the writhe of $F$ and half the number of cusps of $F$. The maximal Thurston Bennequin number of a classical link $L$ is

$$
\overline{\mathrm{tb}}(L)=\max \{\operatorname{tb}(\mathcal{L}) \mid \mathcal{L} \text { has topological type } L\}
$$

## $\overline{\mathrm{tb}}(L)$ and Khovanov homology

Theorem ( Ng )
Let $L$ be a non-split link. Then

$$
\overline{\mathrm{tb}}(L) \leq \min \left\{j-i \mid K h^{i, j}(L) \neq 0\right\} .
$$

Theorem ( Ng )
Let $L$ be a non-split link with reduced alternating diagram $D$. Then

$$
\overline{\mathrm{tb}}(L)=w(D)-s_{A}(D)
$$

## $\overline{\mathrm{tb}}(L)$ for Turaev genus one links

Theorem (Dasbach, L.)
Let $L$ be a link with Turaev genus one diagram D. At least one of the following inequalities hold:

$$
\begin{aligned}
w(D)-s_{A}(D) & \leq \overline{\mathrm{tb}}(L) \leq w(D)-s_{A}(D)+1 \text {, or } \\
-w(D)-s_{B}(D) & \leq \overline{\mathrm{tb}}(\bar{L}) \leq-w(D)-s_{B}(D)+1 .
\end{aligned}
$$

## Relationship to semi-adequate links

Every theorem above about the Jones polynomial or Khovanov homology of a Turaev genus one link also holds for semi-adequate links (Lickorish, Thistlethwaite; Stoimenov; Khovanov; Abe; Kálmán).

Open Question. Is every Turaev genus one link semi-adequate?
Kim proved every inadequate Turaev genus one link is almost-alternating. So it suffices to answer the above question for almost-alternating links.

Thank you!

