Turaev genus and alternating decompositions

Adam Lowrance - Vassar College Joint with Cody Armond - University of Iowa

March 14, 2015

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

Construction of the Turaev surface F(D)

- 1. Replace crossings of D with disks.
- 2. Replace strands of *D* between crossings with (sometimes twisted) bands.
- 3. Cap off the boundary components with disks to obtain F(D).

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

### The Turaev surface - in pictures



◆□> ◆□> ◆豆> ◆豆> ・豆 ・ 釣べ⊙



◆□> ◆□> ◆三> ◆三> ・三 ・ のへで



・ロト・雪・・雪・・雪・・ 白・ シック



▲□ > ▲圖 > ▲目 > ▲目 > → 目 - のへで





◆□ > ◆□ > ◆豆 > ◆豆 > ̄豆 = のへで



・ロト・雪・・雪・・雪・・ 白・ シック



◆□▶ ◆□▶ ◆三▶ ◆三▶ ○□ のへで



### Turaev genus

- ▶ Define g<sub>T</sub>(D) to be the genus of the Turaev surface F(D) of D.
- The Turaev genus  $g_T(L)$  of the link L is

 $g_T(L) = \min\{g_T(D) \mid D \text{ is a diagram of } L\}.$ 

•  $g_T(L) = 0$  if and only if L is alternating.

#### History

► To each link diagram D, Turaev (1987) associated a closed, oriented surface F(D) of genus g<sub>T</sub>(D) and proved

$$c(L) - g_T(L) \ge \operatorname{span} V_L(t).$$

- DFKLS (2006) Further connections between F(D) and the Jones polynomial V<sub>L</sub>(t).
- Champanerkar, Kofman, and Stoltzfus (2007) Khovanov width gives lower bound for g<sub>T</sub>(L).
- ▶ L. (2008) Knot Floer width gives lower bound for  $g_T(L)$ .
- Dasbach, L. (2011) Further lower bounds for g<sub>T</sub>(L) coming from σ, τ, and s.
- Dasbach, L. (2014) A Turaev surface model for Khovanov homology.

### Properties of the Turaev surface

- 1. F(D) is a Heegaard surface in  $S^3$ .
- 2. D is alternating on F(D).
- 3. The complement of D in F(D) is a collection of disks.

**Question.** If a surface satisfies (1) - (3) above, is it the Turaev surface of some knot?

**Answer.** No. There exist knots K of arbitrarily high Turaev genus that project to a Heegaard tori of  $S^3$  in an alternating way and cut the torus into disks (L. - 2014).

Sufficient conditions for being a Turaev surface

Suppose K is a knot and F is a surface satisfying

- 1. F is a Heegaard surface of  $S^3$ ,
- 2. K has an alternating projection to F, and
- 3. the complement of the projection of K is a collection of disks.

Two groups give additional conditions that ensure F is a Turaev surface:

- Champanerkar, Kofman (2014) Conditions (1)-(3) plus a Morse theoretic condition.
- Armond, Druivenga, Kindred (2014) Conditions (1)-(3) plus a Heegaard diagram condition.

### Heegaard diagram corresponding to a Turaev surface



### Heegaard curves on an edge of D



◆□ > ◆□ > ◆豆 > ◆豆 > ̄豆 \_ のへぐ

### Alternating decompositions

- Consider D as a 4-regular plane graph with over/under information at vertices.
- ▶ Mark each non-alternating edge of *D* with two points.
- Connect marked points with non-intersecting arcs inside the faces of D that follow along the boundary of each face.

► The resulting collection of curves is the *alternating decomposition* of *D* (Thistlethwaite - 1988).

### Arcs following the boundary of a face







▲ロト ▲理 ト ▲ ヨ ト ▲ ヨ - ● ● ● ●









### Alternating decomposition graph

- The alternating decomposition curves partition S<sup>2</sup> into regions in which D is either alternating or has no crossings.
- Exactly one of the two regions adjacent to any alternating decomposition curve contains crossings.
- ▶ Form a plane graph *G* by taking the curves of the alternating decomposition to be the vertices of *G* and the edges of *G* to be the non-alternating edges of *D*.

- Each split alternating component of D are assigned is an isolated vertex.
- *G* is called the alternating decomposition graph of *D*.

D







D

G

Properties of alternating decomposition graphs

- Alternating decomposition graphs are plane, bipartite, and each vertex has even degree.
- ▶ If D and D' have the same alternating decomposition graph, then  $g_T(D) = g_T(D')$ .
- ▶ If *G* is a plane, bipartite graph where every vertex has even degree, then *G* is an alternating decomposition graph of some link diagram *D*.

• Define  $g_T(G) = g_T(D)$ .











### Graphs instead of diagrams

- ► There is a recursive algorithm for computing g<sub>T</sub>(G) without ever referring to a link diagram.
- $g_T(G)$  does not depend on the embedding of G into the plane.
- The recursive algorithm and a classification of Turaev genus zero diagrams/alternating decomposition graphs lead to the following theorems.

#### Theorem (Armond - L., Seungwon Kim)

Let L be a prime, non-split link with  $g_T(L) = 1$ . Every diagram D of L with  $g_T(D) = 1$  has an alternating decomposition graph G that is a doubled cycle of even length.

**Rephrasing.** Every prime link diagram D with  $g_T(D) = 1$  is a cycle of alternating 2-tangles.

**Note.** There is a version of this theorem for composite and split links.

### Recall Example 1

D





▲□ > ▲圖 > ▲目 > ▲目 > → 目 - のへで

#### Theorem (Armond - L.)

Let D be a Turaev genus two diagram of a prime link with alternating decomposition graph G. Then G is among one of the seven infinite families appearing on the following slide.

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

### Turaev genus two alternating decomposition graphs











◆□> ◆□> ◆三> ◆三> ● 三 のへの

### Turaev genus two alternating decomposition graphs



## Thank you!

◆□ ▶ < 圖 ▶ < 圖 ▶ < 圖 ▶ < 圖 • 의 Q @</p>